

1 **The Paleocene-Eocene thermal maximum gives insight into greenhouse gas-induced environmental**  
2 **and biotic change**

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48 What happens to the Earth's climate, environment and biota when thousands of gigatons of greenhouse  
49 gas are rapidly added to the atmosphere? Modern anthropogenic forcing of atmospheric chemistry  
50 promises to provide an experiment in such change that has not been matched since the early Paleogene,  
51 when catastrophic release of carbon to the atmosphere drove abrupt, transient, hyperthermal events.  
52 Understanding of the Paleocene-Eocene Thermal Maximum (PETM, ~55 Ma), the best-documented of  
53 these events, has advanced significantly during recent years. During the PETM, carbon addition to the  
54 oceans and atmosphere of a magnitude similar to those anticipated through the 21<sup>st</sup> century. The event  
55 initiated global warming, biotic extinction and migration, and fundamental changes in the carbon and  
56 hydrological cycles that transformed the early Paleogene world. The PETM demonstrates that extreme  
57 and rapid changes in Earth Systems can be triggered by carbon cycle perturbation even in times of  
58 globally warm climate and in an ice-free world. As we describe here, an array of changes in the  
59 atmosphere, geosphere, hydrosphere, and biosphere have been documented during the PETM, and their  
60 study has provided insight into the temporal patterns and coupling of changes in the Earth Systems that  
61 accompany massive carbon release in a warm world.

62

### 63 *Atmosphere*

64 Atmospheric temperatures inferred from surface ocean (references in *Zachos et al.* [2005]) and terrestrial  
65 (e.g., *Wing et al.* [2005]) proxies warmed by 5 - 9° C globally during the PETM. Warming was closely  
66 associated with the release of between ~1500 and 4500 Gt of carbon to the ocean and atmosphere,  
67 resulting in large but poorly quantified increases in atmospheric CO<sub>2</sub> levels [*Zachos et al.*, 2005].

68 Atmospheric moisture transport was also impacted by the PETM as evidenced by indicators of terrestrial  
69 discharge along the continental margins (e.g., *Crouch et al.* [2003]) and isotopic records suggesting  
70 humid growing conditions across the northern mid-latitudes [*Bowen et al.*, 2004]. Floral evidence from  
71 Wyoming suggests that precipitation amounts varied there throughout the event [*Wing et al.*, 2005].

72

### 73 *Geosphere*

74 Carbon input to the ocean-atmosphere system during the PETM most likely came from geospheric  
75 reservoirs. The carbon source and trigger have been debated since *Dickens, et al.* [1995] proposed a  
76 methane clathrate source that was destabilized as ocean temperatures warmed from the late Paleocene to  
77 an early Eocene maximum. Alternative sources include thermogenic methane produced during the  
78 emplacement of igneous plutons in the North Atlantic seafloor [*Svensen et al.*, 2004] or widespread peat  
79 and coal burning [*Kurtz et al.*, 2003]. The geosphere played an important role in carbon sequestration  
80 during the later stages of the PETM when weathering feedbacks drove increased marine carbonate burial,  
81 buffering and ultimately leading to the recovery of the carbon cycle from the PETM [*Zachos et al.*, 2005].

82

### 83 *Hydrosphere*

84 One potential consequence of future global warming is a perturbation to the ocean's thermohaline  
85 circulation. Indications that ocean circulation changes occurred during the PETM are thus of great  
86 significance. Surface ocean warming was amplified at high latitudes (as much as 9° C) relative to low  
87 latitudes (5° C), while deep-water temperatures rose by 4-5° C globally (references in *Zachos et al.*  
88 [2005]). During the PETM, reduced pole-to-equator sea-surface temperature gradients or changes in  
89 continental freshwater runoff may have shifted the site of deep-water formation from a Southern Ocean  
90 locus to subtropical latitudes or to high latitudes in the northern hemisphere (e.g., *Kennett and Stott*  
91 [1991]; *Bice and Marotzke* [2002]; *Nunes and Norris* [2006]), introducing warm water to the deep sea and  
92 driving methane clathrate destabilization and further greenhouse warming [*Bice and Marotzke*, 2002].

93

94 Geographic patterns of benthic foraminifera  $\delta^{13}\text{C}$  values have been used to reconstruct deep-water flow  
95 and support a circulation reversal during the PETM [*Nunes and Norris*, 2006]. Unfortunately, these data  
96 are geographically sparse, reflect water-mass characteristics of intermediate and deep-waters (from ~  
97 1000 m to > 3000 m paleodepth), and may have been influenced by local organic-matter regeneration.  
98 The investigated sections display varying degrees of dissolution at the onset of the PETM, resulting in  
99 time gaps that make precise site-to-site correlation difficult or impossible. Other tools for the

100 reconstruction of deep-water circulation, including patterns of deep-sea carbonate dissolution, oxygen  
101 content and Nd isotopes are not consistent with deep ocean circulation change at the start of the PETM as  
102 inferred from  $\delta^{13}\text{C}$  records (e.g., *Thomas et al.* [2003]).

103

#### 104 *Biosphere*

105 Global environmental perturbation at the PETM is no less apparent in biotic records than in those  
106 documenting the climate or carbon cycle. Lasting changes in mammalian taxonomic composition and  
107 diversity, as well as transient body size reductions, were associated with dispersal of terrestrial mammals  
108 among the Northern Hemisphere continents at the beginning of the PETM (e.g., *Clyde and Gingerich*  
109 [1998]). PETM floras in mid-latitudes document northward range extensions of hundreds to thousands of  
110 kilometers and intercontinental dispersal [*Wing et al.*, 2005]. Marine communities exhibited a complex  
111 array of responses, ranging from the loss of ~35 - 50% of deep sea benthic foraminiferal species, the most  
112 severe extinction in the last 90 Ma (e.g., *Thomas* [1998]), to significant but transient assemblage changes  
113 in other groups, including global dominance of the warm-water dinoflagellate *Apectodinium* (e.g., *Crouch*  
114 *et al.* [2003]), rapid diversification of planktonic foraminifera [*Kelly et al.*, 1998], and shifts in trophic  
115 strategies of nannoplankton in shelf and open ocean locations. Overall rates and geographic patterns of  
116 terrestrial and marine ecosystem productivity appear to have varied substantially through the PETM  
117 [*Bowen et al.*, 2004; *Thomas*, 1998].

118

#### 119 *A synthesis PETM Earth Systems evolution (Fig. 1)*

120 Phase I - Initiation. Carbon isotope records documenting global  $\delta^{13}\text{C}$  decreases during the first ~15 to 30  
121 Kyr of the PETM indicate one or more rapid (i.e. occurring within 1 - 2 Kyr) releases of  $^{13}\text{C}$ -depleted  
122 carbon to the atmosphere-ocean system. What triggered the PETM carbon release(s) remains a critical  
123 question. In considering the PETM as a potential analogue to modern global change, it is particularly  
124 important to understand whether the PETM was initiated as a feedback (i.e. as climate crossed a warming  
125 threshold) or an externally forced event. If the PETM carbon release was a feedback, it implies that

126 human-induced warming may also trigger a cascade of amplifying carbon cycle feedbacks. If the PETM  
127 carbon release was forced externally it might be better considered analogous to anthropogenic carbon  
128 release itself. Although no clear consensus exists in this matter, the suggestion that multiple PETM-like  
129 events may have occurred in phase with orbital cycles potentially falsifies hypotheses linking the PETM  
130 to singular forcing factors such as bolide impacts or volcanic events [Lourens *et al.*, 2005]. Whatever the  
131 trigger, the onset of the PETM was characterized by abrupt changes spanning the Earth Systems,  
132 including the acidification of the oceans, rapid changes in terrestrial and marine biota, and extinction of  
133 benthic foraminifera. The linkages between carbon cycle perturbation and synchronous biotic change are  
134 not completely understood: individual ecosystems may have responded directly to such aspects of  
135 environmental change as carbon addition (ocean acidification, elevated  $p\text{CO}_2$ ) and/or indirectly to  
136 consequences of carbon release (e.g., rising temperatures, increased precipitation, changes in nutrient  
137 supply and/or distribution).

138  
139 Phase II – Alternate semi-stable state. A distinct, ~60 Kyr, interval beginning when  $\delta^{13}\text{C}$  values reached  
140 their minimum has been called the “body” of the PETM and is characterized by continued increases in  
141 global temperature, low and relatively stable oceanic and terrestrial  $\delta^{13}\text{C}$  values, increased  $\delta^{13}\text{C}$  offsets  
142 between terrestrial and marine systems, slow dilution of oceanic acidity, and biotic assemblages  
143 (dinoflagellate cysts, benthic and planktonic foraminifera, and calcareous nannoplankton in the oceans;  
144 plants and mammals on the continents) that include transient, often unique taxa. The body of the PETM  
145 demonstrates that the Earth Systems response to initial PETM forcing was not simply a shift away from,  
146 and recovery to, equilibrium, but a shift to a fundamentally different, semi-stable state [Bowen *et al.*,  
147 2004]. This state may in some ways represent our global environmental future, and it is therefore critical  
148 that we begin to characterize it. Bowen *et al.* [2004] proposed a model suggesting substantial terrestrial  
149 ecosystem change during the body of the PETM, but focused study of this interval has just begun. Low  
150  $\delta^{13}\text{C}$  values are one unexplained and characteristic feature of the PETM body. We suggest the stable  
151 isotopic values reflect temporary stagnation of components of the carbon cycle during a time of poor

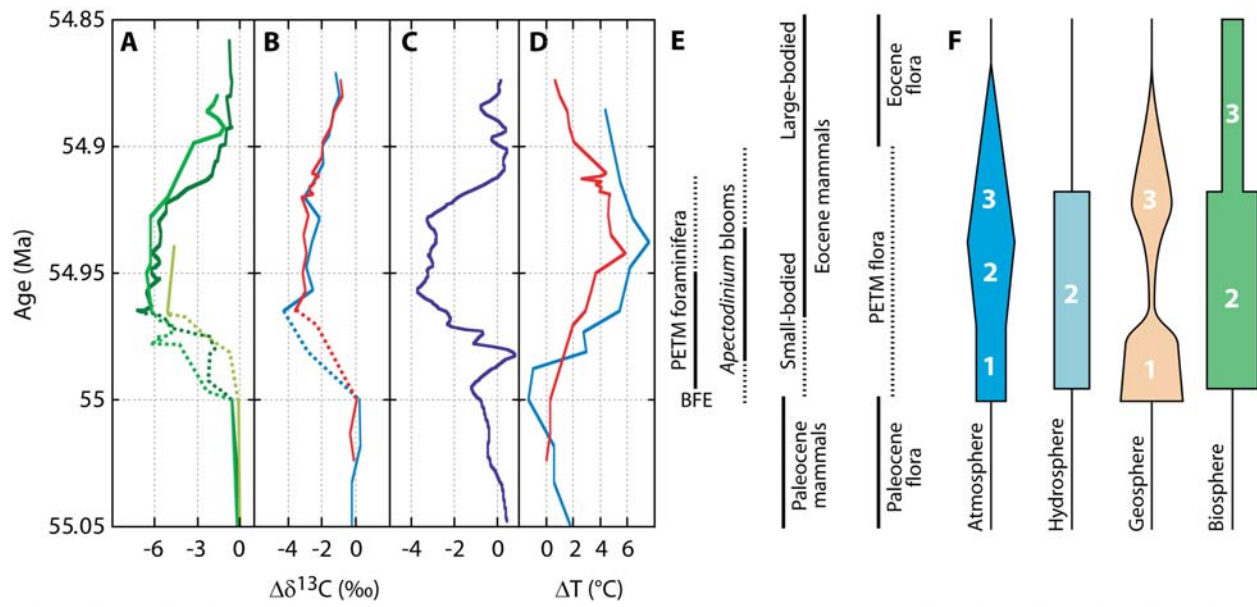
152 seafloor carbonate preservation [Zachos *et al.*, 2005] and reduced open-ocean carbonate export  
153 production [Thomas, 1998]. If so, the continued rise of global temperatures and ecosystem change during  
154 the body of the PETM may represent changes associated with a long-term lag in carbon cycle recovery  
155 from massive carbon release, and may be indicative of patterns of future global change.

156

157 Phase III – Recovery. Over the final ~70 Kyr of the PETM Earth’s systems recovered to an earliest  
158 Eocene state that was in many ways similar to the late Paleocene. The details of the recovery process are  
159 relevant to understanding how Earth’s Systems recover from carbon cycle perturbation and the degree to  
160 which such events lead to lasting changes in Earth’s climate, biota, and geochemical systems. Recovery  
161 included dramatic increases in seafloor carbonate burial rates, falling global temperatures, and a transition  
162 from distinctive PETM biotic assemblages to those typical of the early Eocene. Elevated marine  
163 carbonate burial represents a potential mechanism for ‘righting’ the carbon cycle following massive  
164 carbon release, but given the intervening 60 Kyr of low carbonate burial during the PETM body it is  
165 important to understand how this process proceeded. The biotic record provides the strongest evidence  
166 for lasting change induced by the PETM. Earliest Eocene communities of terrestrial mammals and  
167 benthic foraminifera differ widely from their latest Paleocene counterparts in species composition and  
168 ecological features. These differences may reflect permanent environmental change, interactions among  
169 organisms brought together by range changes, or the irreversibility of evolution and extinction.

170

171 Fifteen years of study have revealed the PETM as a case study of the broad impacts of massive carbon  
172 cycle perturbation during a time of globally warm climate. Continued study promises not only to guide  
173 our understanding of global change mechanisms during the PETM, but to illustrate modes of connectivity  
174 among Earth’s Systems and patterns of change that may characterize Earth’s future.



**Figure 1:** Environmental records and a 3-phase model of Earth Systems evolution through the Paleocene-Eocene Thermal Maximum (PETM, age model following *Bowen et al.*[2004]). **A & B)** Carbon isotope records of the PETM given as anomalies relative to the interval 55.05 – 55.00 Ma. Terrestrial paleosol carbonate records (**A**) from northern Wyoming (dark green) northern Spain (light green), and southern China (olive green). Marine planktonic foraminifera records (**B**) from the subtropical Pacific (red) and southern Atlantic (blue). Carbon isotope changes during the onset of the PETM (dashed lines) occurred as a series of abrupt (~1 Kyr) steps that are not clearly resolved in these records. **C)** Difference of the averaged  $\delta^{13}\text{C}$  curves for the 3 terrestrial and 2 surface ocean records. **D)** Surface temperature anomaly records for the 2 oceanic sites, with colors as in **B**. All data in **A – D** compiled by *Bowen et al.* [2004]. **E)** Simplified patterns of biotic change through the PETM, compiled from a number of sources (including *Kennett and Stott* [1991]; *Thomas* [1998]; *Crouch et al.* [2003]; *Wing et al.* [2005]). BFE = Benthic foraminiferal extinction. **F)** A synthesis of global change associated with the PETM. Width of bars correspond to severity of change relative to late Paleocene conditions. 1 = Initiation of the PETM: intrinsic or extrinsic trigger; carbon release from geospheric reservoirs to the ocean/atmosphere; buildup of carbon-based greenhouse gases in the atmosphere; ocean acidification and dissolution of seafloor carbonate sediments, benthic foraminiferal extinction, intercontinental mammal migration. 2 = Body of

the PETM: low marine carbonate burial rates; altered hydrologic cycle; distinctive PETM biotic communities; gradual climatic warming. 3 = Recovery interval: rapid burial of carbon in marine carbonate sediments; climate cooling; restructured biotic communities persist into the Early Eocene.

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